



**International Network for Knowledge and Comparative Socioeconomic Analysis of Informality**  
and the policies to be implemented for its formalisation in the European Union and Latin America

**Horizon Europe Project 101182756 — INSEAI 2023**  
REAA – Marie Skłodowska-Curie Actions & Support to Experts A.3 – MSCA Staff Exchanges



**Funded by the European Union**

# Ethics Issues

|        |     |
|--------|-----|
| Humans | Yes |
|--------|-----|

|               |     |
|---------------|-----|
| Personal data | Yes |
|---------------|-----|

|                  |     |
|------------------|-----|
| Non-EU countries | Yes |
|------------------|-----|

|                         |     |
|-------------------------|-----|
| Artificial intelligence | Yes |
|-------------------------|-----|

| Ethics Opinion   |
|--|
| Conditional ethics clearance (clearance is subject to conditions, i.e. ethics requirements. The requirements must either be fulfilled before grant signature or become part of the grant agreement). |

| External Independent Ethics Advisor/Board   |                           |
|---|---------------------------|
| <b>In your opinion, would it be exceptionally necessary to appoint an external independent ethics advisor or an ethics board (with a minimum of three experts) reporting periodically to the Commission/Agency/funding body?</b>  | <b>Yes (Ethics Board)</b> |
| <p>The project will involve research with human participants in a large number of EU and non-EU countries. An Ethics Advisory Board (EAB) should be appointed to support the Consortium's capacity to address these issues. An independent EAB must be appointed at month 1 of the project. The consortium must submit the Appointment Letter and CV of the EAB members, and the reports provided by the EAB as deliverables. The first and second reports are due on compliance with the dates of the periodic reports. The Appointment letter must indicate the name and surname of the Advisors, the area of professional expertise in</p> |                           |

ethics, including a confirmation that the professional background makes the Advisors knowledgeable in the various ethics aspects of the project, and that this is in line with the Advisors' mandate set in the Ethics Summary Report (EthSR ).

The EAB should consist of at least two people, and ideally three, who have between them expertise in sociology and economics, along with ethics expertise.

The EAB will:

- 1) Provide to the project consortium an initial evaluation of the ethical and legal issues involved in the research before any research is undertaken;
- 2) Ensure that all ethical and legal approvals are obtained in all participating countries before any research is undertaken;
- 3) Ensure that the same ethical and legal research standards are maintained in non-EU countries as those in EU countries; 4) Provide oversight over the transfer of data between countries;
- 5) Monitor and provide guidance over the use of artificial intelligence (AI) in the analysis of data and the development of models.

Deliverables should be provided at:

M1: providing details of EAB members and its establishment;

M24: providing details of the initial ethical evaluation and advice provided to the consortium and reassurance that this has been followed;

M48: providing a final evaluation of the ethical issues raised by the primary research and the use of AI.

### Ethics Check or Review during the project

| In your opinion, would an Ethics Check or Ethics Review be necessary during the project?   | Yes (Ethics Check) |
|--|--------------------|
| In order to ensure that the ethical issues and the complexity of managing them in a large-scale project are well addressed, an Ethics Check should be undertaken at months 12 and 36. The reason for these checks is to provide reassurance and evidence that the ethical issues, which were not addressed in the proposal, are sufficiently well recognised and are addressed in the design, implementation and analysis of the research. |                    |

### General requirement applicable to all grants

The beneficiaries must ensure that all ethics issues related to activities under the grant are addressed in compliance with ethical principles, applicable international and national laws, and the provisions set out in the Grant Agreement. This includes the ethics issues identified

in this report and any additional ethics issues that may arise during the grant period. If any substantial new ethics issues arise, beneficiaries should notify the granting authority. For each applicable ethics issue, beneficiaries must follow the guidance provided in 'How to Complete Your Ethics Self-Assessment'.